



**NORTH CAROLINA DEPARTMENT OF INSURANCE  
FINANCIAL ANALYSIS & RECEIVERSHIP DIVISION  
FINANCIAL ANALYSIS SECTION  
Notice of RPG Using Lloyd's of London**

**Please attach document to the Registration and Application Form.**

The North Carolina Department of Insurance (NCDI) performs a review to determine if a Risk Purchasing Group (RPG) that is applying for registration in North Carolina conducts business with a Lloyd's of London (LOL) entity. The Federal Risk Retention Act of 1986 (15 USC 3901-3906) and G.S. § 58-22 (Liability Risk Retention) requires a RPG to place members' risk in insurers that are authorized to underwrite coverage in North Carolina. Authorized insurers may be traditional casualty insurance companies, surplus lines insurance companies or risk retention group insurance companies. LOL entities operate in this State as surplus lines insurance companies. Any RPG using an LOL entity is to execute this document and submit it to the NCDI as part of their initial application for registration. In addition, this document should be submitted to the NCDI when a RPG adds LOL entities during the year or as part of their annual renewal application. The form must be completed in its entirety. Submit the executed document to the North Carolina Department of Insurance, Financial Analysis & Receivership Division, Financial Analysis Section, 1203 Mail Service Center, Raleigh, N.C. 27699-1203.

**The RPG purchases liability insurance from the following Lloyd's of London entities:**

**Lloyd's of London Syndicates**

<u>Name of LOL Syndicates</u>	<u>LOL Basic # (4 digits)</u>	<u>Alien ID Code (7 digits)</u>

**Lloyd's of London Individual Underwriters: Non-Syndicates**

**Individual Underwriters**

<u>Name</u>	<u>Address</u>	<u>Telephone</u>

**US Bank Trust Fund**

<u>Name</u>	<u>City</u>	<u>State</u>

Name of RPG: \_\_\_\_\_

Name of President or Authorized Administrator: \_\_\_\_\_

Signature: \_\_\_\_\_ Title: \_\_\_\_\_