

**North Carolina Department of Insurance  
Financial Analysis & Receivership Division  
Financial Analysis Section  
1203 Mail Service Center  
Raleigh, North Carolina 27699-1203**

**ATTENTION: THE PRESIDENT OF FOREIGN AND ALIEN INSURERS OR THE UNITED STATES ATTORNEY-IN-FACT IN CASES OF ALIEN INSURERS**

RE: Annual Filing Requirements for Authorized Reinsurers in North Carolina

Each insurance company accepted as an authorized reinsurer pursuant to North Carolina General Statute 58-7-21(b) (3) and (b) (4) is annually required to submit to this Department the following information by March 1st:

- Annual Statement and Actuarial Certification electronically to the NAIC. Otherwise, a hardcopy of the Annual Statement and Actuarial Certification must be filed with this Department.
- Complete and submit to this Department the Authorized Reinsurer Annual Contact Information Form. The form can be obtained from the Department's website at:  
<https://www.ncdoi.gov/licensees/company-licensing-and-registration/authorized-reinsurers>.

In addition to the above requirements, companies authorized in North Carolina pursuant to North Carolina General Statute 58-7-21(b) (4) must also file the following:

- A report from the trustees of the reinsurer's trust, which provides the balance of the trust, lists the trust's investments as of the most recent December 31st, and certifies the trust will not expire before the following December 31st, (or if the trust will expire, certifies the termination date of the trust). This report is due no later than February 28th of each year.
- In the case of a group including incorporated and individual unincorporated underwriters, within 90 days after its financial statements are due to be filed with the group's domiciliary insurance regulator, the group shall provide to the Commissioner an annual certification by the group's domiciliary insurance regulator of the solvency of each underwriter member or, if a certification is unavailable, financial statements prepared by independent public accountants of each underwriter member of the group.

All hardcopy documents above should be directed to:

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Questions concerning the filing requirements should be directed to [financialanalysis submissions@ncdoi.gov](mailto:financialanalysis submissions@ncdoi.gov).