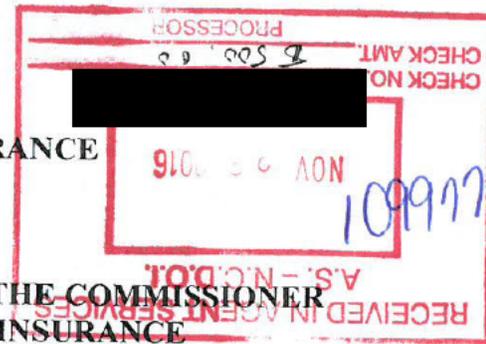


**NORTH CAROLINA DEPARTMENT OF INSURANCE
RALEIGH, NORTH CAROLINA**



**STATE OF NORTH CAROLINA
COUNTY OF WAKE**

**BEFORE THE COMMISSIONER
OF INSURANCE**

**IN THE MATTER OF THE LICENSURE
OF DAVID LEE HOLLIS
NPN No. 10189113**

**VOLUNTARY SETTLEMENT
AGREEMENT**

NOW COME David Lee Hollis (hereinafter "Mr. Hollis") and the North Carolina Department of Insurance (hereinafter "Department"), and hereby voluntarily and knowingly enter into the following Voluntary Settlement Agreement (hereinafter "this Agreement"):

WHEREAS, the Department has the authority and responsibility for enforcement of the insurance laws of this State, and for regulating and licensing insurance agents; and

WHEREAS, Mr. Hollis is a Virginia resident with an active non-resident personal lines license issued by the Department, and his National Producer Number is 10189113; and

WHEREAS, Mr. Hollis received a misdemeanor conviction in Virginia for public urination on or about August 22, 2008 and a misdemeanor conviction in Virginia for public intoxication on or about December 8, 2015; and

WHEREAS, Mr. Hollis did not report the 2008 and 2015 Virginia criminal convictions to the Department within ten (10) days of each conviction as is required by N.C. Gen. Stat. § 58-2-69(c); and

WHEREAS, Mr. Hollis's violations of N.C. Gen. Stat. § 58-2-69(c) are violations of the insurance laws for which his license could be revoked pursuant to N.C. Gen. Stat. § 58-33-46(a)(2); and

WHEREAS, Mr. Hollis has agreed to settle, compromise, and resolve the matters referenced in this Agreement, and the Department has agreed not to pursue additional penalties, sanctions, remedies, or restitution based on these matters against Mr. Hollis; and

WHEREAS, pursuant to N.C.G.S. § 58-2-70(g), the Commissioner of Insurance and the Department have the express authority to negotiate a mutually acceptable agreement with any person as to the status of the person's license or certificate or as to any civil penalty or restitution; and

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WHEREAS, the parties to this Agreement mutually wish to resolve this matter by consent before the Department initiates an administrative hearing concerning this matter; and

WHEREAS, the parties to this Agreement have reached a mutually agreeable resolution of this matter as set out in this Agreement;

NOW, THEREFORE, in exchange for, and in consideration of the promises and agreements set out herein, the Department and Mr. Hollis hereby agree to the following:

1. Immediately upon his signing of this document, Mr. Hollis shall pay a **civil penalty of five hundred dollars (\$500.00)** to the Department. The form of payment shall be in a certified check, cashier's check or money order. The check or money order for the payment of this civil penalty shall be payable to the "North Carolina Department of Insurance." Mr. Hollis shall send the civil penalty by certified mail, return receipt requested, to the Department simultaneously with the return of this Agreement, signed by Mr. Hollis. The civil penalty and the signed Agreement must be received by the Department no later than **November 28, 2016**. The civil penalty shall be subject to disbursement in accordance with the provisions of Article IX, Section 7 of the North Carolina Constitution for the benefit of the public schools.
2. Mr. Hollis enters into this Agreement freely and voluntarily and with knowledge of his right to have an administrative hearing on this matter. Mr. Hollis understands that he may consult with an attorney prior to entering into this Agreement.
3. This Agreement does not in any way affect the Department's disciplinary power in any future examinations of Mr. Hollis or in any other complaints involving Mr. Hollis.
4. The parties to this Agreement agree that this Agreement shall have the full force and effect of an Order of the Commissioner. Mr. Hollis understands that N.C.G.S. 58-33-46(a)(2) provides that his licenses may be revoked for violating an Order of the Commissioner.
5. Mr. Hollis has read and understands this Agreement and agrees to abide by the terms and conditions stated herein.
6. This Agreement, when finalized, will be a public record and is not confidential. Any and all licenses issued by the Department to the licensee shall reflect that Regulatory Action has been taken against the licensees following the execution of this Agreement. The Department is free to disclose the contents of this Agreement with third parties upon request or pursuant to any law or policy providing for such disclosure. The Department routinely provides copies of voluntary settlement agreements to the NAIC and all

companies that have appointed the licensee.

7. This Voluntary Settlement Agreement shall become effective when signed and attested to by Mr. Hollis and the Department.

This the ^{30th} ~~27th~~ day of ~~November~~ 2016.

NORTH CAROLINA DEPARTMENT OF
INSURANCE



David Lee Hollis

By:  ¹¹⁻³⁰⁻¹⁶
Angela Ford
Senior Deputy Commissioner



Jennifer Yvonne-Johnson Doty
NOTARY PUBLIC
Commonwealth of Virginia
Reg. #7511843
My Commission Expires
November 30, 2019

