NC DEPARTMENT of INSURANCE

Special Entities Division

## CEO and CFO Financial Statement Attestation

In accordance with N.C. Gen. Stat. § 58-89A-60(b) and § 58-89A-70(d)(1) this form is to be completed and attached to the audited financial statement required to be filed with the North Carolina Department of Insurance at the time of application and within 120 days after the end of each fiscal year.

Applicant, Licensee, or Guarantor Name: _____

Fiscal Year Ending (MM/DD/YY): _____

In accordance with N.C. Gen. Stat. § 58-89A-60(b) and § 58-89A-70(d)(1) the undersigned hereby attest that:

- (i) Each has reviewed the attached financial statement;
- (ii) based on each signatory's knowledge, the attached financial statement does not contain any untrue or misleading statement of material fact or omit a fact with respect to the period covered by the financial statement; and
- (iii) based on each signatory's knowledge, the attached financial statement fairly presents in all material respects the financial condition of the applicant / licensee / guarantor as of, and for, the period presented in the financial statement.

Signature of Chief Executive Officer: _____

Print Name: _____

Chief Executive Officer

Date: _____

Signature of Chief Financial Officer: _____

Print Name: _____

Chief Financial Officer

Date: _____