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NORTH CAROLINA DEPARTMENT OF INSURANCE RALEIGH, NORTH CAROLINA

CHECK NO.

STATE OF NORTH CAROLINA COUNTY OF WAKE

BEFORE THE COMMISSIONER OF INSURANCE

IN THE MATTER OF THE LICENSURE OF CHRISTOPHER JONES NPN No. 8619092 | 10049401

VOLUNTARY SETTLEMENT AGREEMENT

NOW COME Christopher Jones (hereinafter "Mr. Jones") and the North Carolina Department of Insurance (hereinafter "Department"), and hereby voluntarily and knowingly enter into the following Voluntary Settlement Agreement (hereinafter this Agreement):

WHEREAS, the Department has the authority and responsibility for enforcement of the insurance laws of this State, and for regulating and licensing insurance agents; and

WHEREAS, Mr. Jones is a Colorado resident, and he holds Non-Resident Producer licenses issued by this Department; and

WHEREAS, on or about December 7, 1999, Mr. Jones pled guilty to the misdemeanor of Criminal Mischief in Boulder County, Colorado; and

WHEREAS, on or about November 11, 2000, Mr. Jones was convicted of the misdemeanor of Unlawful Possession of a Controlled Substance, Schedule V, in Park County, Colorado; and

WHEREAS, on or about September 10, 2009, Mr. Jones pled guilty to the misdemeanor of Theft for which he paid restitution in Thornton, Colorado; and

WHEREAS, Mr. Jones applied for a Non Resident Producer license with the Department on or about February 20, 2013 via electronic application; and

WHEREAS, on the February 20, 2013 license application, Mr. Jones answered "No" to the screening question, "Have you ever been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime?"; and

WHEREAS, Mr. Jones failure to report his prior criminal convictions on his February 20, 2013 license application to the Department by his failure to accurately answer the screening question noted above is a violation of N.C.G.S. §§ 58-33-46(a)(1) and 58-33-46(a)(2) for which his licenses could be revoked; and

WHEREAS, Mr. Jones has agreed to settle, compromise, and resolve the matters referenced in this Agreement, and the Department has agreed not to pursue additional penalties, sanctions, remedies, or restitution based on these matters against Mr. Jones; and

WHEREAS, pursuant to N.C.G.S. § 58-2-70(g), the Commissioner of Insurance and the Department have the express authority to negotiate a mutually acceptable agreement with any person as to the status of the person's license or certificate or as to any civil penalty or restitution; and

WHEREAS, the parties to this Agreement mutually wish to resolve this matter by consent before the Department initiates an administrative hearing concerning this matter; and

WHEREAS, the parties to this Agreement have reached a mutually agreeable resolution of this matter as set out in this Agreement;

NOW, THEREFORE, in exchange for, and in consideration of the promises and agreements set out herein, the Department and Mr. Jones hereby agree to the following:

- 1. Mr. Jones agrees to pay a civil penalty of seven hundred and fifty dollars (\$750.00) to the Department. The civil penalty must be in the form of a certified check, cashier's check or money order, and must be received by the Department contemporaneously with the executed Voluntary Settlement Agreement, signed by Mr. Jones, no later than June 23, 2014.
- 2. Mr. Jones enters into this Agreement freely and voluntarily and with knowledge of his right to have an administrative hearing on this matter. Mr. Jones understands that he may consult with an attorney prior to entering into this Agreement.
- 3. This Agreement does not in any way affect the Department's disciplinary power in any future examinations of Mr. Jones or in any other complaints involving Mr. Jones.
- 4. The parties to this Agreement agree that this Agreement shall have the full force and effect of an Order of the Commissioner. Mr. Jones understands that N.C.G.S. 58-33-46(a)(2) provides that his licenses may be revoked for violating an Order of the Commissioner.
- 5. Mr. Jones has read and understands this Agreement and agrees to abide by the terms and conditions stated herein.
- 6. This Agreement, when finalized, will be a public record and is not confidential. Any and all licenses issued by the Department to the licensee shall reflect that Regulatory Action

has been taken against the licensees following the execution of this Agreement. The Department is free to disclose the contents of this Agreement with third parties upon request or pursuant to any law or policy providing for such disclosure. The Department routinely provides copies of voluntary settlement agreements to the NAIC and all companies that have appointed the licensee.

7. This Voluntary Settlement Agreement shall become effective when signed and attested to by Mr. Jones and the Department.

This the 28 day of uly, 2014.

Christopher Jones

NORTH CAROLINA DEPARTMENT OF INSURANCE

By Angella Ford

Senior Deputy Commissioner