

**NORTH CAROLINA DEPARTMENT OF INSURANCE
RALEIGH, NORTH CAROLINA**

**STATE OF NORTH CAROLINA
COUNTY OF WAKE**

**BEFORE THE COMMISSIONER
OF INSURANCE**

**IN THE MATTER OF THE
LICENSURE OF
NATHANIEL WARREN ROOKER
LICENSE NO. 10007199**

**VOLUNTARY SETTLEMENT
AGREEMENT**

NOW COME, Nathaniel Warren Rooker (hereinafter "Mr. Rooker") and the Bail Bonds Regulatory Division of the North Carolina Department of Insurance (hereinafter "BBRD" or "Department"), and hereby voluntarily and knowingly enter into the following Voluntary Settlement Agreement (hereinafter "this Agreement"):

WHEREAS, the Department has the authority and responsibility for regulating and licensing professional bail bondsmen, surety bail bondsmen, and runners in North Carolina; and

WHEREAS, Mr. Rooker is currently licensed by the Department as a surety bail bondsman; and

WHEREAS, pursuant to N.C. Gen. Stat. § 58-71-80(a)(7), the Commissioner may deny, suspend, revoke, or refuse to renew any license under Article 71 of Chapter 58 of the North Carolina General Statutes for failure to comply with or violation of the provisions of Article 71 of Chapter 58 of the N.C. General Statutes or of any order, rule, or regulation of the Commissioner; and

WHEREAS, N.C. Gen. Stat. § 58-2-69(c) provides that if a licensee is convicted in any court of competent jurisdiction for any crime or offense other than a motor vehicle infraction, the licensee shall notify the Commissioner in writing of the conviction within ten (10) days after the date of the conviction, which includes an adjudication of guilt, a plea of guilty, or a plea of *nolo contendere*; and

WHEREAS, Mr. Rooker was charged with the offense of Expired Registration Card/Tag (case # 24CR705542) in Onslow County, N.C. on June 2, 2024, and convicted of that charge on January 21, 2025; and

WHEREAS, Mr. Rooker did not report his January 21, 2025 conviction within ten (10) days after the date of the conviction as required by N.C. Gen. Stat. § 58-2-69(c), and is therefore in violation thereof; and

WHEREAS, Mr. Rooker's violation of N.C. Gen. Stat. § 58-2-69(c) demonstrates a failure to comply with and/or violation of the provisions of Article 71 of Chapter 58 of the North Carolina General Statutes for which administrative action could be taken against Mr. Rooker's surety bail bondsman license; and

WHEREAS, in lieu of an administrative hearing on the matters stated herein, Mr. Rooker has agreed to settle, compromise, and resolve the matter referenced in this Agreement, and the BBRD has agreed not to pursue additional civil ramifications; including penalties, sanctions, remedies, or restitution based on these matters against Mr. Rooker; and

WHEREAS, pursuant to N.C. Gen. Stat. § 58-2-70(g), the Commissioner of Insurance and the Department have the express authority to negotiate a mutually acceptable agreement with any person as to the status of the person's license or certificate or as to any civil penalty or restitution; and

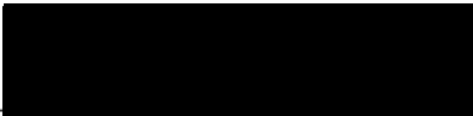
WHEREAS, Mr. Rooker agreed on April 7, 2025 to execute a Voluntary Settlement Agreement as a mutually agreeable resolution of this matter accepting a monetary fine in the amount of \$500.00 as a condition of licensure as a surety bail bondsman; and

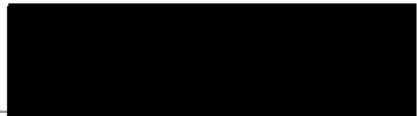
NOW, THEREFORE, in consideration of the promises and agreements set out herein, the Department and Mr. Rooker hereby agree to the following:

1. Mr. Rooker shall remit a **civil penalty in the amount of \$500.00** to the Department. Payment shall be in the form of certified check, cashier's check, or money order made payable to the "North Carolina Department of Insurance." Mr. Rooker shall send the civil penalty by certified mail, return receipt requested to 106 Baker Road Archdale, NC 27263 Attn: Mickey Denny or delivered in person, to the BBRD simultaneously with the return of this duly executed Agreement. The civil penalty and the signed Agreement must be received by the BBRD no later than **June 16, 2025**. The civil penalty shall be subject to disbursement in accordance with the provisions of Article IX, Section 7 of the North Carolina Constitution for the benefit of the public schools.
2. Mr. Rooker shall obey all laws and regulations applicable to all licenses issued to him.
3. Mr. Rooker enters into this Agreement freely and voluntarily and with knowledge of his right to have an administrative hearing on this matter. Mr. Rooker understands that he may consult with an attorney prior to entering into this Agreement.

4. This Agreement does not in any way affect the BBRD's disciplinary power in any future follow-up examinations of Mr. Rooker, or in any other cases or complaints involving Mr. Rooker.
5. The parties to this Agreement agree that this Agreement shall have the full force and effect of an Order of the Commissioner. Mr. Rooker understands that N. C. Gen. Stat. § 58-71-80(a)(7) provides that a surety bail bondsman's license may be revoked for violating an Order of the Commissioner.
6. This Voluntary Settlement Agreement, when finalized, will be a public record and is not confidential. All licenses issued by the Department to the licensee shall reflect that Regulatory Action has been taken against the licensee following the execution of this Agreement. The Department is free to disclose the contents of this Agreement to third parties upon request or pursuant to any law or policy providing for such disclosure.
7. This Agreement shall become effective when signed by Mr. Rooker and by Senior Deputy Commissioner Marty Sumner on behalf of the BBRD.

**N.C. Department of Insurance
Bail Bonds Regulatory Division**


By: Nathaniel Warren Rooker
License No. 10007199


By: M
Senior Deputy Commissioner

Date: 6-11-25

Date: 6/17/25