

N. C. DEPARTMENT OF INSURANCE AGENT SERVICES DIVISION RALEIGH, NORTH CAROLINA

STATE OF NORTH CAROLINA COUNTY OF WAKE OF I

IN THE MATTER OF THE LICENSURE OF BRIAN D. TURNER NPN: 8969887 VOLUNTARY SETTLEMENT AGREEMENT

NOW COME, Brian D. Turner (hereinafter "Mr. Turner") and the Agents Services Division of the N.C. Department of Insurance (hereinafter "Agent Services Division"), and hereby voluntarily and knowingly enter into the following Voluntary Settlement Agreement (hereinafter "this Agreement").

WHEREAS, the Agent Services Division has the authority and responsibility for enforcement of the insurance laws of this State, and for regulating and licensing insurance agencies and agents; and

WHEREAS, Mr. Turner currently holds a Non-resident Producer's license with authority for Life and Accident and Health or Sickness lines of insurance and a Medicare Supplement Long-Term Care insurance license issued by the Agent Services Division; and

WHEREAS, North Carolina General Statute § 58-33-32(k) requires producers to report to the Commissioner any administrative action taken against the producer in another state or by another governmental agency in this State, including enforcement actions taken against the producer by the Financial Industry Regulatory Authority (FINRA), within 30 days after the final disposition of the matter; and

WHEREAS. Mr. Turner was involved in an administrative procedure with the Indiana Department of Insurance wherein the application for renewal of his producer's license was denied, effective May 30, 2024, based on past criminal history. Mr. Turner was charged with on September 06, 2021, and found guilty of State Felony Solicitation of Prostitution on July 12, 2023, and sentenced to a term of two (2) years deferred adjudication and probation in the 24th Judicial District Court of Bell County Texas; and

WHEREAS, Mr. Turner did not report the Indiana Department of Insurance's administrative action to the Agent Services Division within 30 days after the final disposition of that matter as required by North Carolina General Statute § 58-33-32(k) and was therefore in violation thereof; and

WHEREAS, N.C. Gen. Stat. § 58-2-69(c) provides that if a licensee is convicted in any court or competent jurisdiction for any crime or offense other than a motor vehicle infraction, the licensee shall notify the Commissioner within 10 business days after the date of the conviction; and "conviction" includes an adjudication of guilt, a plea of guilty, or a plea of "nolo contendere"; and

WHEREAS, Mr. Turner did not notify the Commissioner within 10 business days after the date of his conviction as required by N.C. Gen. Stat. 58-2-69(c), and was therefore in violation thereof; and

WHEREAS, N.C. Gen. Stat. § 58-33-46(a)(2) provides that the Commissioner may place on probation, suspend, revoke, or refuse to issue or renew any license issued under Article 58 of the General Statutes of North Carolina for violating any insurance law of this or any other state, violating any administrative rule, subpoena, or order of the Commissioner or of another state's regulator; and

WHEREAS, N. C. Gen. Stat. § 58-2-70 provides that whenever the Commissioner has reason to believe that any person has violated any law that would subject the license or certification of that person to suspension or revocation, the Commissioner is authorized, in lieu of a hearing, to negotiate a mutually acceptable agreement as to the status of the person's license or certificate or to any civil penalty or restitution; and

WHEREAS, Mr. Turner has agreed to settle, compromise, and resolve the matters referenced in this Agreement on behalf of himself, and the Agent Services Division has agreed not to pursue additional civil ramifications, including penalties, sanctions, remedies, or restitution based on these matters against Mr. Turner; and

NOW, THEREFORE, in exchange for the consideration and promises and agreements set out herein, Mr. Turner and the Agent Services Division hereby agree to the following:

- 1. Immediately upon the signing of this Agreement, Mr. Turner shall pay a civil penalty of \$250.00 to the Agent Services Division. The form of payment shall be by certified check, cashier's check, or money order. The check or money order for the payment of this civil penalty shall be payable to the "North Carolina Department of Insurance." Mr. Turner shall remit the civil penalty by certified mail, return receipt requested, to the Agent Services Division along with a copy of this signed Agreement. The civil penalty and the signed Agreement must be received by the Agent Services Division no later than February 18, 2025. The civil penalty shall be subject to disbursement in accordance with the provisions of Article IX, Section 7 of the North Carolina Constitution for the benefit of public schools.
- This Agreement does not in any way affect the Agent Services Division's disciplinary power in any future examination of Mr. Turner or in any other complaints involving Mr. Turner.

- 3. Mr. Turner enters into this Agreement, on behalf of himself, freely and voluntarily and with the knowledge of his right to have an administrative hearing on this matter. Mr. Turner understands he may consult with an attorney prior to entering into this Agreement.
- 4. The parties to this Agreement agree that this Agreement shall have the full force and effect of an Order of the Commissioner. Mr. Turner understands that N.C.G.S. § 58-33-46(a)(2) provides that a producer's license may be revoked for violating an Order of the Commissioner.
- 5. This Agreement, when finalized, will be a public record and will <u>not</u> be held confidential by the Agent Services Division. Following the execution of this Agreement, all licenses issued by the Agent Services Division to Mr. Turner shall reflect that Regulatory Action has been taken against him. The Agent Services Division is free to disclose the contents of this Agreement with third parties upon request or pursuant to any law or policy providing for such disclosure. The Agent Services Division, upon request, will provides a copy of the voluntary settlement agreement to companies that have appointed the licensee.
- The parties have read and understand this Agreement and agree to abide by the terms and conditions stated herein.
- 7. Be aware that if a state or federal regulator other than the Agent Services Division has issued an occupational or professional license to you, that regulator may require you to report this administrative action to it. The Agent Services Division cannot give you legal advice as to the specific reporting requirements of other state or federal regulators.

By: Brian D. Turner
NPN: 8969887

By: Joe Wall
Deputy Commissioner

Date: 2-3-25 Date: 2/10/2025